

#4

FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			ATTY DOCKET NO. <b>684.3254</b>		APPLICATION NO. <b>09/961,075</b>		
			APPLICANT <b>Takao TAKIGUCHI AKIRA TSUBOYAMA ET AL.</b>				
			FILING DATE <b>September 24, 2001</b>		GROUP <b>2878-1774</b>		
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
<b>MEY</b>		<b>Peter I. Djurovich et al., "Ir(III) Cyclometalated Complexes as Efficient Phosphorescent Emitters in Polymer Blend and Organic LEDs," 41(1) Polymer Preprints 770-771 (2000).</b> (March 2000).					
		Preprints					
EXAMINER <b>Marie R. Yarnitzky</b>				DATE CONSIDERED <b>03/21/03</b>			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FORM PTO 1449 (modified)		ATTY DOCKET NO. <b>684.3254</b>		APPLICATION NO. <b>09/961,075</b>	
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		FILING DATE <b>September 24, 2001</b>		GROUP <b>2878 1774</b>	

  

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<i>MEY</i>	<b>5,698,858</b>	<b>12/16/97</b>	<b>Börner</b>	<b>250</b>	<b>484.2</b>	—	

  

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
<i>MEY</i>	<b>JP 11-329739</b>	<b>11/30/99</b>	<b>Japan</b>	—	—	<b>Abstract</b>	
<i>MEY</i>	<b>JP 11-256148</b>	<b>09/21/99</b>	<b>Japan</b>	—	—	<b>Abstract</b>	
<i>MEY</i>	<b>JP 8-319482</b>	<b>12/03/96</b>	<b>Japan</b>	—	—	<b>Abstract</b>	

  

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
<i>MEY</i>	<b>C.H. Chen et al., "Recent Developments in Molecular Organic Electroluminescent Materials," 125 Macromol. Symp. 1 - 48 (1997). <span style="float: right;">10 MONTH</span></b>
<i>MEY</i>	<b>D.F. O'Brien et al., "Improved Energy Transfer in Electrophosphorescent Devices," 74(3) Appl. Phys. Lett. 442-444 (1999). <span style="float: right;">January 1999.</span></b>
<i>MEY</i>	<b>M.A. Balgo et al., "Very High-Efficiency Green Organic Light-Emitting Devices Based on Electrophosphorescence," 75(1) Appl. Phys. Lett. 4-6 (1999). <span style="float: right;">July 1999.</span></b>

  

EXAMINER <i>Maie R. Yarnitzky</i>	DATE CONSIDERED <i>03/20/03</i>
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FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)			ATTY DOCKET NO. <b>684.3254</b>		APPLICATION NO. <b>09/961,075</b>	
APPLICANT <i>Takao TAKESUCHI</i> <b>AKIRA TSUBOYAMA ET AL.</b>			FILING DATE <b>September 24, 2001</b>		GROUP <b>2878-1774</b>	

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FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		
<i>Mei</i>	<b>Moon-Jay Yang et al., "Organic Light-Emitting Devices Used New Iridium Complexes as Triplet-State Emitter," Preprint for the 61<sup>st</sup> Academical Lecture of the Applied Physics Society of Japan, v. 3, p. 1117, 6p-ZH-1 (2000).</b>	

EXAMINER <i>Marie R. Yanaitzky</i>	DATE CONSIDERED <i>03/21/03</i>
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#8

FORM PTO 1449 (modified)		ATTY DOCKET NO. <b>00684.003254</b>		APPLICATION NO. <b>09/961,075</b>	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		APPLICANT <b>Takao Takiguchi et al.</b>			
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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS SUBCLASS FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS SUBCLASS TRANSLATION YES/NO/ OR ABSTRACT
<i>Mey</i>	WO	02/02714 A2	01/10/02	WIPO	— — N/A
<i>Mey</i>	WO	02/15645 A1	02/21/02	WIPO	— — N/A
<i>Mey</i>	EP	1 175 128 A2	01/23/02	Europe	— — N/A
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)					
<i>Mey</i>		Sergey Lamansky et al., "Molecularly Doped Polymer Light Emitting Diodes Utilizing Phosphorescent Pt(II) and Ir(III) Dopants," 2 Organic Electronics 53-62 (March 2001).			
<i>Mey</i>		Vladimir V. Grushin et al., "New, Efficient Electroluminescent Materials Based on Organometallic Ir Complexes," Chem. Commun. 1494-1495 (July 23, 2001).			
<i>Mey</i>		Alan Ford et al., "Regioselectivity in Metallation Reactions of 2'-(2-naphthyl)pyridine: 1'-versus 3'-reactivity in Mercuration and Palladation Reactions. Crystal Structure of Chloro(pyridine) [2-(2'-pyridinyl)naphthyl-C <sup>3</sup> ,N]palladium," 493 J. Organometal. Chem. 215-220 (1995). <i>no month</i>			
<i>Mey</i>		Chihaya Adachi et al., "High-Efficiency Organic Electrophosphorescent Devices with Tris(2-phenylpyridine)iridium Doped into Electron-Transporting Materials," 77(6) Appl. Phys. Lett. 904-906 (August 7, 2000).			
EXAMINER	<i>Marie R. Yanaitzky</i>		DATE CONSIDERED <i>03/21/03</i>		

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